

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

International Application No. : PCT/DE2003/003994
International Filing Date : December 1, 2003
U.S. Serial No. : 10/536,834
Deposit Date U.S. Nat'l Phase : May 31, 2005
Priority Date(s) Claimed : November 29, 2002
Applicant(s) : Steffen GOLETZ et al.
Title: TUMOR-SPECIFIC RECOGNITION MOLECULES

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. § 371
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 11213-1450

Sir:


In response to the Notification of Missing Requirements dated December 19, 2005:

- ☒ Attached is a Declaration and Power of Attorney in compliance with 37 CFR § 1.53 along with the late filing surcharge of **\$65.00**.
- ☒ Applicant(s) include an executed assignment and Recordation Form Cover Sheet with the recordation fee of \$40.00 (Check No. 36587).
- ☒ Attached is a copy of the Notification of Missing Requirements.
- ☒ Applicant(s) request that the time for taking action in this case be extended pursuant to 37 CFR 1.136(a) for a period of one month along with the fee of **\$60.00**.
- ☒ Applicant(s) are entitled to small entity status.
- ☐ Attached is a verified English translation of the PCT publication along with the fee of \$130.00.
- ☐ Attached is a Preliminary Amendment.
- ☐ Applicant(s) include the extra claims fee of \$ for claims in excess of 20 per additional claim, for independent claims in excess of 3 per additional claim, and/or for multiple dependent claims.
- ☒ Applicants affirm that the attached papers and disk versions of the sequence listing are identical and do not contain new matter.

- ☐ Attached is an Application Data Sheet (ADS).
- ☒ Attached is an Information Disclosure Statement and PTO-1449.

Attached is a check in the amount of \$125.00. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402.

Respectfully submitted,

 50908
for B77

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Attorney Docket No.: GULDE-59

Date: March 20, 2006

AJZ:aap:ssr

03/23/2006 GFREY1 00000120 10536834

01 FC:2617	65.00 OP
02 FC:2251	60.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2
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U.S. APPLICATION NUMBER NO.

10/536,834

FIRST NAMED APPLICANT

Steffen Goletz

ATTY. DOCKET NO.

GULDE-59

INTERNATIONAL APPLICATION NO.

PCT/DE03/03994

I.A. FILING DATE

12/01/2003

PRIORITY DATE

11/29/2002

24997

MILLEN, WHITE, ZELANO & BRANIGAN, PC
2200 CLARENDON BLVD
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ARLINGTON, VA 22201

DATE

RESP TO NMR
2-19-06 AS

CONFIRMATION NO. 7472

371 FORMALITIES LETTER



OC000000017674427

Date Mailed: 12/19/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/31/2005
- English Translation of the IA filed on 05/31/2005
- Copy of the International Search Report filed on 05/31/2005
- Preliminary Amendments filed on 05/31/2005
- Information Disclosure Statements filed on 05/31/2005
- Biochemical Sequence Diskette filed on 05/31/2005
- Biochemical Sequence Listing filed on 05/31/2005
- U.S. Basic National Fees filed on 05/31/2005
- Priority Documents filed on 05/31/2005
- Specification filed on 05/31/2005
- Claims filed on 05/31/2005
- Abstracts filed on 05/31/2005
- Drawings filed on 05/31/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,

12/21/05
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must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application** OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patent Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/536,834	PCT/DE03/03994	GULDE-59